• Lecture 1: gravitational wave astronomy, the two-body problem, and self-force theory

 Lecture 2: the local problem: how to deal with small bodies Perturbation theory in GR Small bodies and punctures Point particles and mode-sum regularization

3 Lecture 3: the global problem: orbital dynamics in Kerr

**4** Lecture 4: the global problem: black hole perturbation theory

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If the exact metric is  $\hat{g}_{\alpha\beta}=g_{\alpha\beta}+h_{\alpha\beta},$  then

$$\begin{split} C^{\alpha}_{\beta\gamma} &:= \hat{\Gamma}^{\alpha}_{\beta\gamma} - \Gamma^{\alpha}_{\beta\gamma} = \frac{1}{2} \hat{g}^{\alpha\mu} (2\nabla_{(\beta}h_{\gamma)\mu} - \nabla_{\mu}h_{\beta\gamma}) \\ \Rightarrow \hat{R}^{\alpha}{}_{\beta\gamma\delta} v^{\beta} &= (\hat{\nabla}_{\gamma}\hat{\nabla}_{\delta} - \hat{\nabla}_{\delta}\hat{\nabla}_{\gamma}) v^{\alpha} = \left( R^{\alpha}{}_{\beta\gamma\delta} + 2\nabla_{[\gamma}C^{\alpha}_{\delta]\beta} + 2C^{\alpha}_{\mu[\gamma}C^{\mu}_{\delta]\beta} \right) v^{\beta} \\ \Rightarrow \hat{R}_{\beta\delta} &= R_{\beta\delta} + 2\nabla_{[\alpha}C^{\alpha}_{\delta]\beta} + 2C^{\alpha}_{\mu[\alpha}C^{\mu}_{\delta]\beta} \end{split}$$

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## Perturbative Einstein equations continued

• expand in powers of nonlinearity:  $\hat{g}^{\alpha\beta} = g^{\alpha\beta} - h^{\alpha\beta} + \frac{1}{2}h^{\alpha}{}_{\gamma}h^{\gamma\beta} + \dots$ 

$$\Rightarrow \hat{R}_{\alpha\beta} = R_{\alpha\beta} + R_{\alpha\beta}^{(1)}[h] + R_{\alpha\beta}^{(2)}[h,h] + \dots$$

linearized Ricci tensor:

$$\begin{aligned} R^{(1)}_{\alpha\beta}[h] &= -\frac{1}{2} \nabla^{\mu} \nabla_{\mu} h_{\alpha\beta} - \frac{1}{2} \nabla_{\alpha} \nabla_{\beta} (g^{\mu\nu} h_{\mu\nu}) + \nabla^{\mu} \nabla_{(\alpha} h_{\beta)\mu} \\ &= -\frac{1}{2} \left( \nabla^{\mu} \nabla_{\mu} h_{\alpha\beta} + 2R_{\alpha}{}^{\mu}{}_{\beta}{}^{\nu} h_{\mu\nu} \right) + \nabla_{(\alpha} \nabla^{\mu} \bar{h}_{\beta)\mu} \end{aligned}$$

(trace-reversed perturbation:  $\bar{h}_{\alpha\beta} = h_{\alpha\beta} - \frac{1}{2}g_{\alpha\beta}g^{\mu\nu}h_{\mu\nu}$ )

• quadratic piece of Ricci tensor:

$$R^{(2)}_{\alpha\beta}[h,h] \sim \nabla h \nabla h + h \nabla \nabla h$$

## Perturbative Einstein equations continued

• now consider one-parameter family of spacetimes with metric  $\hat{g}_{\alpha\beta}(\epsilon) = g_{\alpha\beta} + h_{\alpha\beta}(\epsilon)$  and stress-energy  $\hat{T}_{\alpha\beta}(\epsilon)$ 

• substitute 
$$h_{\alpha\beta} = \epsilon h^{(1)}_{\alpha\beta} + \epsilon^2 h^{(2)}_{\alpha\beta} + O(\epsilon^3)$$

$$\Rightarrow \hat{R}_{\alpha\beta} = R_{\alpha\beta} + \epsilon R_{\alpha\beta}^{(1)}[h^{(1)}] + \epsilon^2 \left( R_{\alpha\beta}^{(1)}[h^{(2)}] + R_{\alpha\beta}^{(2)}[h^{(1)}, h^{(1)}] \right) + O(\epsilon^3)$$

• substitute 
$$\hat{T}_{\alpha\beta}(\epsilon) = T_{\alpha\beta} + \epsilon T^{(1)}_{\alpha\beta} + \epsilon^2 T^{(2)}_{\alpha\beta} + O(\epsilon^3)$$

$$\Rightarrow \qquad G_{\alpha\beta} = 8\pi T_{\alpha\beta}, \\ G_{\alpha\beta}^{(1)}[h^{(1)}] = 8\pi T_{\alpha\beta}^{(1)}, \\ G_{\alpha\beta}^{(1)}[h^{(2)}] = 8\pi T_{\alpha\beta}^{(2)} - G_{\alpha\beta}^{(2)}[h^{(1)}, h^{(1)}],$$

•

#### Make a small coordinate transformation:

$$x^{\mu} \rightarrow x'^{\mu} = x^{\mu} - \epsilon \xi^{\mu} + O(\epsilon^2)$$

Expand the metric in the two coordinate systems:

$$\hat{g}_{\mu\nu}(x,\epsilon) = g_{\mu\nu}(x) + \epsilon h^{(1)}_{\mu\nu}(x) + O(\epsilon^2) \hat{g}'_{\mu\nu}(x',\epsilon) = g_{\mu\nu}(x') + \epsilon h'^{(1)}_{\mu\nu}(x') + O(\epsilon^2)$$

How are they related? Tensor transformation law:

$$\hat{g}_{\mu\nu}'(x',\epsilon) = \frac{\partial x^{\alpha}}{\partial x'^{\mu}} \frac{\partial x^{\beta}}{\partial x'^{\nu}} \hat{g}_{\alpha\beta}(x(x'),\epsilon)$$

Expand  $x^{\mu}(x'^{\nu})$  and  $\hat{g}_{\alpha\beta}$ :

$$\hat{g}'_{\mu\nu}(x') = g_{\mu\nu}(x') + \epsilon [h^{(1)}_{\mu\nu}(x') + \mathcal{L}_{\xi}g_{\mu\nu}(x')] + O(\epsilon^2)$$

$$h_{\mu\nu}^{\prime(1)} = h_{\mu\nu}^{(1)} + \mathcal{L}_{\xi}g_{\mu\nu}$$

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## Gauge freedom: geometrical description

• expansion in powers of  $\epsilon$  is expansion along flow lines through the family:

$$(\phi_{\epsilon}^{X*}\hat{g})_{\mu\nu}(p) = \hat{g}_{\mu\nu}(p) + \epsilon \mathcal{L}_X \hat{g}_{\mu\nu}(p) + \frac{1}{2} \epsilon^2 \mathcal{L}_X^2 \hat{g}_{\mu\nu}(p) + O(\epsilon^3)$$



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• define 
$$\bar{h}_{\alpha\beta} := h_{\alpha\beta} - \frac{1}{2}g_{\alpha\beta}g^{\mu\nu}h_{\mu\nu}$$

• gauge condition  $\nabla_\beta \bar{h}^{\alpha\beta}=0$ 

$$\Rightarrow R^{(1)}_{\alpha\beta}[h] = -\frac{1}{2} \left( \nabla^{\mu} \nabla_{\mu} h_{\alpha\beta} + 2R_{\alpha}{}^{\mu}{}_{\beta}{}^{\nu} h_{\mu\nu} \right)$$
$$G^{(1)}_{\alpha\beta}[h] = -\frac{1}{2} \left( \nabla^{\mu} \nabla_{\mu} \bar{h}_{\alpha\beta} + 2R_{\alpha}{}^{\mu}{}_{\beta}{}^{\nu} \bar{h}_{\mu\nu} \right)$$

• commonly used in self-force theory

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## What is the problem we want to solve?

A small, compact object of mass and size  $m \sim l \sim \epsilon$  moves through (and influences) spacetime

• Option 1: tackle the problem directly, treat the body as finite sized, deal with its internal composition

Need to deal with internal dynamics and strong fields near object

## What is the problem we want to solve?

A small, compact object of mass and size  $m \sim l \sim \epsilon$  moves through (and influences) spacetime

 Option 2: restrict the problem to distances s ≫ m from the object, treat m as source of perturbation of external background g<sub>μν</sub>:

$$\hat{g}_{\mu\nu} = g_{\mu\nu} + \epsilon h^{(1)}_{\mu\nu} + \epsilon^2 h^{(2)}_{\mu\nu} + \dots$$

• This is a free boundary value problem

Metric here must agree with metric outside a small compact object; and "here" moves in response to field A small, compact object of mass and size  $m \sim l \sim \epsilon$  moves through (and influences) spacetime

- Option 3: treat the body as a point particle
  - takes behavior of fields outside object and extends it down to a fictitious worldline
  - so  $h_{\mu\nu}^{(1)} \sim 1/s$  (s =distance from object)
  - $G^{(1)}_{\mu\nu}[h^{(2)}] \sim G^{(2)}_{\mu\nu}[h^{(1)}] \sim (\nabla h^{(1)})^2 \sim 1/s^4$ —no solution unless we restrict it to points off worldline, which is equivalent to FBVP

Distributionally ill defined source appears here!

## What is the problem we want to solve?



A small, compact object of mass and size  $m \sim l \sim \epsilon$  moves through (and influences) spacetime

- Option 4: transform the FBVP into an *effective* problem using a *puncture*, a local approximation to the field outside the object
- this will be the method emphasized here

[Mino, Sasaki, Tanaka 1996; Quinn & Wald 1996; Detweiler & Whiting 2002-03; Gralla & Wald 2008-2012; Pound 2009-2017; Harte 2012]

## Matched asymptotic expansions

М

- *outer expansion*: in external universe, treat field of *M* as background
- *inner expansion*: in inner region, treat field of *m* as background
- in buffer region  $m \ll s \ll M$ , feed information between expansions



## Inner expansion: zoom in on body

- use scaled coords  $\tilde{s}\sim s/\epsilon$  to keep size of body fixed, send other distances to infinity as  $\epsilon\to 0$
- unperturbed body defines background spacetime  $g_{\mu\nu}^{\text{body}}$  in inner expansion
- buffer region at asymptotic infinity  $s \gg m$  $\Rightarrow$  can define multipole moments without integrals over body



# Effective worldline

• Effective worldline  $\gamma$  in external spacetime defined by body's "centredness" in body's spacetime



## Matching condition

• outer: 
$$\hat{g}_{\mu\nu}(s,\epsilon) = g_{\mu\nu}(s) + \epsilon h^{(1)}_{\mu\nu}(s) + \epsilon^2 h^{(2)}_{\mu\nu}(s) + O(\epsilon^3)$$

• inner: 
$$\hat{g}_{\mu\nu}(s/\epsilon,\epsilon) = g^{\text{body}}_{\mu\nu}(s/\epsilon) + \epsilon H^{(1)}_{\mu\nu}(s/\epsilon) + \epsilon^2 H^{(2)}_{\mu\nu}(s/\epsilon) + O(\epsilon^3)$$

- matching condition:
  - expand outer expansion for small s:

$$\hat{g}_{\mu\nu} = \sum_{n\geq 0} \sum_{p} \epsilon^n s^p \hat{g}^{(n,p)}_{\mu\nu}$$

• expand inner expansion for small  $\epsilon$ :

$$\hat{g}_{\mu\nu} = \sum_{n\geq 0} \sum_{p} \epsilon^{n} (\epsilon/s)^{p} \check{g}_{\mu\nu}^{(n,p)}$$

• they must agree:

$$\hat{g}_{\mu\nu}^{(n,p)} = \check{g}_{\mu\nu}^{(n+p,-p)}$$

• matching conditions constrains dependence on s:

e.g., inner expansion must not have negative powers of  $\boldsymbol{\epsilon}$ 

$$\Rightarrow \text{ most singular power of } s \text{ in } \epsilon^n h_{\mu\nu}^{(n)}(s) \text{ is } \frac{\epsilon^n}{s^n} = \frac{\epsilon^n}{\epsilon^n \tilde{s}^n} = \frac{1}{\tilde{s}^n}$$

$$\Rightarrow h_{\mu\nu}^{(n)} = \frac{1}{s^n} h_{\mu\nu}^{(n,-n)} + s^{-n+1} h_{\mu\nu}^{(n,-n+1)} + s^{-n+2} h_{\mu\nu}^{(n,-n+2)} + \dots$$

•  $h_{\mu\nu}^{(n,-n)}/\tilde{s}^n$  must equal a term in asymptotic expansion  $g_{\mu\nu}^{\text{body}}(\tilde{s})$  $\Rightarrow h_{\mu\nu}^{(n,-n)}$  is determined by multipole moments of isolated body Solving the field equations:

- substitute expansion of  $h^{(n)}_{\mu
  u}$  into field equations, solve order by order in s
- expand each  $h^{(n,p)}_{\mu\nu}$  in spherical harmonics
- given a worldline  $\gamma,$  the solution at all orders is fully characterized by
  - 1) body's multipole moments (and corrections thereto):  $\sim \frac{Y^{\ell m}}{s^{\ell+1}}$
  - 2 smooth solutions to vacuum wave equation:  $\sim s^\ell Y^{\ell m}$
- everything else made of (linear or nonlinear) combinations of the above

Self field and regular field

- multipole moments define  $h_{\mu
  u}^{\mathrm{S}(n)}$ ; interpret as bound field of body
- smooth homogeneous solutions define  $h^{{\rm R}(n)}_{\mu\nu};$  free radiation, determined by global boundary conditions

First order

• 
$$h_{\mu\nu}^{(1)} = h_{\mu\nu}^{\mathrm{S}(1)} + h_{\mu\nu}^{\mathrm{R}(1)}$$

• 
$$h_{\mu\nu}^{\rm S(1)} \sim \frac{m}{s} + O(s^0)$$
 defined by mass monopole  $m$ 

•  $h^{{\rm R}(1)}_{\mu\nu}$  is undetermined homogenous solution regular at s=0

#### Second order [Pound 2009, 2012, Gralla 2012]

• 
$$h_{\mu\nu}^{(2)} = h_{\mu\nu}^{S(2)} + h_{\mu\nu}^{R(2)}$$
  
•  $h_{\mu\nu}^{S(2)} \sim \frac{m^2 + S^i}{s^2} + \frac{\delta m + mh^{R(1)}}{s} + O(s^0)$  defined by  
1 monopole correction  $\delta m$   
2 spin dipole  $S^i$   
3 terms  $\propto mh_{\mu\nu}^{R(1)}$ 

# Self-field and effective field



- $h^{\rm S}_{\mu 
  u}$  directly determined by object's multipole moments
- $g_{\mu\nu} + h^{\rm R}_{\mu\nu}$  is a *smooth vacuum metric* determined by global boundary conditions

# Solving EFE in buffer region yields equations of motion for object's effective center of mass

1st order, arbitrary compact object [MISaTaQuWa 1996]:

$$\frac{D^2 z^{\mu}}{d\tau^2} = -\frac{1}{2} \left( g^{\alpha\delta} + u^{\alpha} u^{\delta} \right) \left( 2h^{\mathrm{R1}}_{\delta\beta;\gamma} - h^{\mathrm{R1}}_{\beta\gamma;\delta} \right) u^{\beta} u^{\gamma} + \frac{1}{2m} R^{\alpha}{}_{\beta\gamma\delta} u^{\beta} S^{\gamma\delta} + O(m^2)$$

(motion of spinning test body in  $g_{\mu\nu} + h_{\mu\nu}^{\text{R1}}$ )

2nd-order, nonspinning, spherical compact object [Pound 2012]:

$$\frac{D^2 z^{\mu}}{d\tau^2} = -\frac{1}{2} \left( g^{\mu\nu} + u^{\mu} u^{\nu} \right) \left( g_{\nu}{}^{\rho} - h_{\nu}^{\mathrm{R}\,\rho} \right) \left( 2h_{\rho\sigma;\lambda}^{\mathrm{R}} - h_{\sigma\lambda;\rho}^{\mathrm{R}} \right) u^{\sigma} u^{\lambda} + O(m^3)$$

(geodesic motion in  $\tilde{g}_{\mu\nu} = g_{\mu\nu} + h_{\mu\nu}^{\rm R}$ )

 these results are derived directly from EFE outside the object; there's no regularization of infinities, and no assumptions about h<sup>R</sup><sub>uv</sub>

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• replace object with a *puncture*, a local singularity in the field, moving on  $z^\mu,$  equipped with the object's multipole moments



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# Replacing an object with a puncture

- truncate local expansion of  $h^{{
  m S}(n)}_{\mu
  u}$ , call it the puncture  $h^{{\cal P}(n)}_{\mu
  u}$
- solve field equations for *residual field*

$$h_{\mu\nu}^{\mathcal{R}(n)} := h_{\mu\nu}^{(n)} - h_{\mu\nu}^{\mathcal{P}(n)}$$

• move the puncture with eqn of motion (using  $\partial h_{\mu\nu}^{\mathcal{R}(n)}|_{\gamma} = \partial h_{\mu\nu}^{\mathrm{R}(n)}|_{\gamma}$ ) use  $h_{\mu\nu}^{\mathcal{R}}$  in equation of motion to evolve  $z^{\mu}$ out here, solve  $G^{(1)}_{\mu\nu}[h^{(1)}] = 0$  $G_{\mu\nu}^{(1)}[h^{(2)}] = -G_{\mu\nu}^{(2)}[h^{(1)}]$ in here. solve  $G^{(1)}_{\mu\nu}[h^{\mathcal{R}(1)}] = -G^{(1)}_{\mu\nu}[h^{\mathcal{P}(1)}]$  $G_{\mu\nu}^{(1)}[h^{\mathcal{R}(2)}] = -G_{\mu\nu}^{(2)}[h^{(1)}] - G^{(1)}[h^{\mathcal{P}(2)}]$ 

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## Point particle approximation

The following problems are equivalent:

• A FBVP:

$$\begin{split} G^{(1)}_{\mu\nu}[h^{(1)}] &= 0 \quad \text{for } x^{\mu} \neq z^{\mu} \\ h^{(1)}_{\mu\nu} &= h^{\text{S}(1)}_{\mu\nu} + h^{\text{R}(1)}_{\mu\nu} \quad \text{for } x^{\mu} \text{ near } z^{\mu} \end{split}$$

• A puncture scheme:

$$G^{(1)}_{\mu\nu}[h^{\mathcal{R}(1)}] = -G^{(1)}_{\mu\nu}[h^{\mathcal{P}(1)}] := S^{\text{eff}}_{\mu\nu} \quad \text{for all } x^{\mu}$$

• A point particle equation:

$$G_{\mu\nu}^{(1)}[h^{(1)}] = 8\pi \int u_{\mu}u_{\nu} \frac{\delta^4(x^{\alpha} - z^{\alpha})}{\sqrt{-g}} d\tau := 8\pi T_{\mu\nu}^{(1)}$$

(coupled to EOM for  $z^{\mu}$  in each case).

These are also equivalent:

$$G^{(1)}_{\mu\nu}[h^{\mathcal{R}(1)}] = -G^{(1)}_{\mu\nu}[h^{\mathcal{P}(1)}] := S^{\text{eff}}_{\mu\nu}$$

$$G^{(1)}_{\mu\nu}[h^{\mathcal{R}(1)}] = 8\pi T^{(1)}_{\mu\nu} - G^{(1)}_{\mu\nu}[h^{\mathcal{P}(1)}] := S^{\text{eff}}_{\mu\nu}$$



$$G^{(1)}_{\mu\nu}[h^{\mathcal{R}(1)}] = 8\pi T^{(1)}_{\mu\nu} - G^{(1)}_{\mu\nu}[h^{\mathcal{P}(1)}] := S^{\text{eff}}_{\mu\nu}$$



- If we solve the point-particle equation for  $h^{(1)}_{\mu\nu}$  , we need to recover  $h^{\rm R(1)}_{\mu\nu}$  from it
- We could use

$$h_{\mu\nu}^{\mathrm{R}(1)}(z) = \lim_{x \to z} [h_{\mu\nu}^{(1)}(x) - h_{\mu\nu}^{\mathcal{P}(1)}(x)]$$
$$\partial_{\rho} h_{\mu\nu}^{\mathrm{R}(1)}(z) = \lim_{x \to z} [\partial_{\rho} h_{\mu\nu}^{(1)}(x) - \partial_{\rho} h_{\mu\nu}^{\mathcal{P}(1)}(x)]$$

etc. But hard to implement

- Instead, expand fields in spherical harmonics and subtract at level of indivdual  $\ell$  modes

## Mode-sum regularization [Barack & Ori and others]



• individual  $\ell$  modes are finite at particle

—divergence comes from sum over  $\ell$ 

$$\begin{split} {}^{\mathrm{R}(1)}_{\mu\nu}(z) &= \lim_{x \to z} \left[ h^{(1)}_{\mu\nu}(x) - h^{\mathrm{S}(1)}_{\mu\nu}(x) \right] \\ &= \lim_{x \to z} \sum_{\ell m} \left[ h^{\ell m}_{\mu\nu}(t,r) Y_{\ell m}(\theta,\phi) - h^{\mathrm{S},\ell m}_{\mu\nu}(t,r) Y_{\ell m}(\theta,\phi) \right] \\ &= \lim_{r \to r_p} \sum_{\ell m} \left[ h^{\ell m}_{\mu\nu}(t,r) Y_{\ell m}(\theta_p,\phi_p) - h^{\mathrm{S},\ell m}_{\mu\nu}(t,r) Y_{\ell m}(\theta_p,\phi_p) \right] \\ &= \lim_{r \to r_p} \sum_{\ell} \left[ h^{\ell}_{\mu\nu}(t,r) - h^{\mathrm{S},\ell}_{\mu\nu}(t,r) \right] \\ &= \sum_{\ell} \left[ h^{\ell}_{\mu\nu}(t,r_p) - h^{\mathrm{S},\ell}_{\mu\nu}(t,r_p) \right] \end{split}$$

h

# Regularization parameters

• In Lorenz gauge,  $h^{{\rm S},\ell}_{\mu\nu}(t,r_p)=B_{\mu\nu}+C_{\mu\nu}/L+O(1/L^2)$  at large  $L=\ell+1/2$ 

• So

$$\begin{aligned} h_{\mu\nu}^{\mathrm{R}(1)}(z) &= \sum_{\ell} \left[ h_{\mu\nu}^{\ell}(t,r_p) - h_{\mu\nu}^{\mathrm{S},\ell}(t,r_p) \right] \\ &= \sum_{\ell} \left[ h_{\mu\nu}^{\ell}(t,r_p) - B_{\mu\nu} - C_{\mu\nu}/L \right] \\ &- \sum_{\ell} \left[ h_{\mu\nu}^{\mathrm{S},\ell}(t,r_p) - B_{\mu\nu} - C_{\mu\nu}/L \right] \\ &:= \sum_{\ell} \left[ h_{\mu\nu}^{\ell}(t,r_p) - B_{\mu\nu} - C_{\mu\nu}/L \right] - D_{\mu\nu} \end{aligned}$$

• Method works for any  $\mathcal{Q}[h^{\mathrm{R}(1)}]$ , where  $\mathcal{Q}$  is linear differential operator

- Singularities introduced in a controlled way, to replace a FBVP with a simpler, equivalent problem
- Regularization prescriptions recover specific finite quantities defined *prior* to the replacement
- Picture emerges of a test mass in an effective metric

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